

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 199866US2CRL

First Inventor or Application Identifier Andrew J. S. SDS, et al.

Title A PHOTON SOURCE

PRO  
S-13242

6/00

11/16/00

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**APPLICATION ELEMENTS**  
See MPEP chapter 600 concerning utility patent application contents

1.  Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Specification Total Sheets **57**
3.  Drawing(s) (35 U.S.C. 113) Total Sheets **29**
4.  Oath or Declaration Total Pages

  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation / divisional w/ box 16 completed)
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).

5.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
6.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification or Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  Paper
  - c.  Statements verifying identity of above copies

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

**ACCOMPANYING APPLICATION PARTS**

7.  Assignment Papers (cover sheet & document(s))
8.  Application Data Sheet. See 37 CFR 1.76
9.  37 C.F.R. §3.73(b) Statement  
(when there is an assignee)  Power of Attorney
10.  English Translation Document (if applicable)
11.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
12.  Preliminary Amendment
13.  White Advance Serial No. Postcard
14.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15.  Applicant claims small entity status.  
See 37 CFR 1.27
16.  Other: Notice of Priority, List of Inventors' Names and Addresses

**16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:**

Continuation       Divisional       Continuation-in-part (CIP)      of prior application no.:

Prior application information: Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. Amend the specification by inserting before the first line the sentence:**

This application is a  Continuation  Division  Continuation-in-part (CIP)  
of application Serial No. Filed on

This application claims priority of provisional application Serial No. Filed

**18. CORRESPONDENCE ADDRESS**



**22850**

(703) 413-3000

FACSIMILE: (703) 413-2220

Name:	Marvin J. Spivak	Registration No.:	24,913
Signature:	<i>Marvin J. Spivak</i>	Date:	11/16/00
Name:	C. Irvin McClelland Registration Number 21,124	Registration No.:	

Docket No. 199866US2CRL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Andrew J. SHIELDS, et al.  
SERIAL NO: New Application  
FILING DATE: Herewith  
FOR: A PHOTON SOURCE

FEES TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	55 - 20 =	35	× \$18 =	\$630.00
INDEPENDENT CLAIMS	8 - 3 =	5	× \$80 =	\$400.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$130.00
			BASIC FEE	\$710.00
			TOTAL OF ABOVE CALCULATIONS	\$1,870.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
			TOTAL	\$1,870.00

Please charge Deposit Account No. 15-0030 in the amount of

A duplicate copy of this sheet is enclosed.

A check in the amount of \$1,870.00 to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.

A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

*Chmn McClelland*

Marvin J. Spivak  
Registration No. 24,618

*C. Irvin McClelland*  
Registration Number 21,124

Date: 11/16/02



**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 10/00)

Docket No. 199866US2CRL

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**IN RE APPLICATION OF: Andrew J. SHIELDS, et al.**  
**FILING DATE: Herewith**  
**FOR: A PHOTON SOURCE**

## **LIST OF INVENTORS' NAMES AND ADDRESSES**

**ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231**

SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

Andrew J. SHIELDS Cambridgeshire, UNITED KINGDOM

A declaration containing all the necessary information will be submitted at a later date.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

A standard linear barcode is located at the bottom of the page, consisting of vertical black bars of varying widths on a white background.

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 11/98)

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C. Irvin McClelland  
Registration Number 21,124